



# **Rutland County Council**

Catmose, Oakham, Rutland, LE15 6HP.  
Telephone 01572 722577 Facsimile 01572 758307 DX28340 Oakham

Ladies and Gentlemen,

A meeting of the **AUDIT AND RISK COMMITTEE** will be held in the Council Chamber, Catmose, Oakham, Rutland, LE15 6HP on **Tuesday, 26th January, 2016** commencing at 7.00 pm when it is hoped you will be able to attend.

Yours faithfully

Helen Briggs  
**Chief Executive**

Recording of Council Meetings: Any member of the public may film, audio-record, take photographs and use social media to report the proceedings of any meeting that is open to the public. A protocol on this facility is available at [www.rutland.gov.uk/haveyoursay](http://www.rutland.gov.uk/haveyoursay)

## **A G E N D A**

### **APOLOGIES FOR ABSENCE**

#### **1) MINUTES**

To confirm the minutes of the Audit and Risk Committee held on 22 September 2015.

#### **2) DECLARATIONS OF INTEREST**

In accordance with the Regulations, Members are invited to declare any disclosable interests under the Code of Conduct and the nature of those interests in respect of items on this Agenda and/or indicate if Section 106 of the Local Government Finance Act 1992 applies to them.

#### **3) PETITIONS, DEPUTATIONS AND QUESTIONS**

To receive any petitions, deputations and questions received from Members of the Public in accordance with the provisions of Procedure Rule 217.

The total time allowed for this item shall be 30 minutes. Petitions, declarations and questions shall be dealt with in the order in which they are received.

Questions may also be submitted at short notice by giving a written copy to the Committee Administrator 15 minutes before the start of the meeting.

The total time allowed for questions at short notice is 15 minutes out of the total time of 30 minutes. Any petitions, deputations and questions that have been submitted with prior formal notice will take precedence over questions submitted at short notice. Any questions that are not considered within the time limit shall receive a written response after the meeting and be the subject of a report to the next meeting.

**4) RISK MANAGEMENT UPDATE**

To receive Report No. 32/2016 from the Director for Resources  
(Pages 5 - 22)

**5) WHISTLE BLOWING POLICY AND PROCEDURES REVIEW**

To receive Report No. 18/2016 from the Director for Resources  
(Pages 23 - 36)

**6) REGULATION OF INVESTIGATORY POWERS ACT 2000 QUARTERLY UPDATES**

To receive Report No.17/2016 from the Director for Resources.  
(Pages 37 - 40)

**7) INTERNAL AUDIT UPDATE REPORT**

To receive Report No. 28/2016 from the Assistant Director for Finance.  
(Pages 41 - 102)

**8) INTERNAL AUDIT PLAN REPORT 2016/17**

To receive Report No. 29/2016 from the Assistant Director for Finance.  
(Pages 103 - 110)

**9) EXTERNAL AUDIT PROGRESS REPORT**

To receive Report No. 30/2016 from the Assistant Director for Finance.  
(Pages 111 - 140)

**10) ACCOUNT CLOSURE PLANNING 2015/16**

To receive Report No.20/2016 from the Assistant Director for Finance.  
(Pages 141 - 148)

**11) ANY OTHER URGENT BUSINESS**

To receive items of urgent business which have previously been notified to the person presiding.

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**DISTRIBUTION**

**MEMBERS OF THE AUDIT AND RISK COMMITTEE:**

Mrs D MacDuff (Chairman)	
Mr J Lammie (Vice-Chair)	
Mr E Baines	Miss G Waller
Mr A Walters	

**OTHER MEMBERS FOR INFORMATION**